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# Lessons Learned on Probabilistic Methodology for Precursor Analysis

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## **Overview**

- Introduction
- Emerging Methodological Issues from Precursor Assessments
- Examples from GRS Experience
- Conclusions

## Introduction

- Precursor studies utilize PSA models to evaluate the risk significance of events from operating experience
- Precursor event if e.g. 10<sup>-6</sup> CCDP exceeded
- GRS performs precursor assessments on behalf of German federal regulator BMUB since 1985
  - Complement deterministic operating experience (OPEX) assessment
  - Identify events with reduced margins, exposed weaknesses
  - Identify risk significant events for in-depth analysis
- GRS involved in international precursor studies activities
- Our insights on probabilistic methods and assessment related to precursor studies

## Emerging Methodological Issues Completeness of PSA models

- Precursor assessment of OPEX can require model extension
- Gaps in PSA models and potential improvements of methods
- Observations
  - Events motivate the inclusion of new CCF groups/failure modes
  - Identify additional operator actions for control of event sequences to be included in PSA models
  - PSA models often neglect seasonal variations/conditions
  - Simplifying/Deterministic assumptions can mask existing vulnerabilities
  - Re-examine IE grouping & accident sequence modelling in light of new/unexpected plant behaviour from OPEX

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- Events spanning an extended time period
  - Precursor analysis usually aggregates risk figures for the full period
  - PSA results usually with reference to 1 year / operating year
  - Plant configuration changes hard to consider for long duration
  - Aggregation might be misleading, limit to e.g. 1 year impact, if sensible
- Overlapping events and changes in plant configuration
  - Explicitly time-dependent assessment necessary
  - Use time-dependent modelling and risk monitor models as available

- Actual plant configuration in precursor models
  - Common PSA models often include summary unavailabilities for planned maintenance in plant operating states (POS)
  - Need for a "baseline" PSA models with optional maintenance & POS unavailabilities
  - Risk monitor model constitutes important enhancement
- Treatment of potential CCF
  - CCF event quantification assumes test/detection intervals
  - Precursor analysis period might be significantly smaller
  - Reconsider CCF quantification, but
  - Consider potential CCF impact (see example below), too



- Operator actions and HRA issues
  - OPEX shows that operator actions not considered (relevant) in PSA model may lead to successful control of event
  - Additional operator actions may have large impact on sensitivity cases
  - Consider both effects in precursor assessments
- Impact on accidental release scenarios
  - German classification insensitive to events from OPEX with degradation of containment function/containment bypass
  - German classification should be extended in that direction
  - > Note small LRF/LERF values of below 10<sup>-6</sup> per year

- Precursor classification
  - German classification (CCDP > 10<sup>-6</sup>) developed in 1990s
  - Based on then results for internal events at full power PSA
  - Newer models arrive at CDF ~  $10^{-6}$  and LRF <  $10^{-6}$
  - Re-examine (German) precursor classification

## Emerging Methodological Issues Probabilistic Methods

- Assessment of passive barriers and elements
  - Often assume to be effective in PSA models
  - OPEX shows examples with degradations and failures
  - Comprehensive assessment methods difficult to develop
  - Perform scoping analyses and sensitivity studies
- Propagation of electrical disturbances
  - Electrical transient propagation (incl. to I&C) hard to model
  - Research on electrical transient behaviour and PSA modelling needed
- OPEX confirms known limitations of current HRA methods

## Potential Loss of UHS and FW due to CCF in medium voltage transformers in the electric power supply

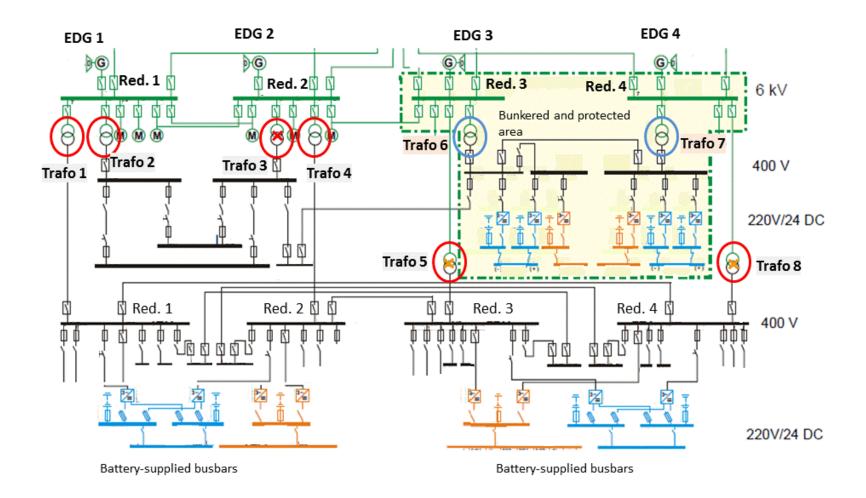
#### Event description

- 6/0.4 kV transformer no. 3 tripped in one train of EPS of auxiliary power supply
- 400 V busbar and start-up of one EDG unavailable (stop valve in service water system remains closed)
- Protection signal triggered due to low transformer oil level
- Oil level low due to
  - Low ambient temperatures (4 °C)
  - I ow transformer load •
  - Level indicator with non-linear gauge (faulty maintenance) ٠
  - Insufficient maintenance processes and practices ٠
- No. 5 & 8 transformers found with low oil level afterwards



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# Overview over auxiliary power system with affected transformers



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## Transformer failures Precursor Issues

- Precursor analysis assumptions and results
  - 3 out of 6 CCF event postulated with conditional failure probability for no. 5 of 0.5 and no. 8 of  $0.4 \Rightarrow 0.2$  for the potential CCF
  - Battery supply of DC busbars for 2 h
  - Consider operator restoration of power supply by manual switchover with failure probability of 6.3.10<sup>-2</sup>
  - Failure to control the event triggers Loss of UHS and FW due to operational control systems and RPS actions
  - Precursor assessment of sensitivity case ~ 2.10<sup>-6</sup>
- Issues
  - PSA model should be extended with transformer failure scenarios
  - CCF group for transformers should be included in PSA
  - Potential multi-unit effects



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## **Missing Mineral Wool in Fire Barrier Penetration Seals**

#### • Event description

- Interstices between fire barrier walls in emergency feedwater building not filled with mineral wool
- Spread of hot gases, smoke can lead to failures in electronic equipment and I&C of up to 2 additional redundant trains
- Precursor assessment issues
  - Assume ignition frequencies from Fire PSA
  - Conservative assessment (sensitivity case) using plant Fire PSA resulted in precursor classification
  - Fire PSA did not consider this potential degradation
  - At least sensitivity cases should be conducted regarding impacts of passive barrier failures within hazards PSA

## Phase failures in the electrical power supply

#### • Event description

- Prominent event(s) outside of Germany (e.g. US) where electrical phase failures did not trip automatic countermeasures
- Persistent non-isolated faults may affect grid connection, power supply, and damage/make unavailable components
- GRS recommended plant improvements
- Precursor assessment issues
  - No precursor assessment possible using German PSA models due to lack of specific modelling
  - In principle feasible, by extending power supply modelling, but needing significant resources
  - Effective PSA methods and deterministic simulations identified as an area for future research by GRS



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## Conclusions

- Emerging issues related to PSA & precursor studies have been identified
- Main lessons
  - Precursor assessment and CCF evaluation activities need to regularly exchange information; PSA models need to be updated
  - Precursor classification should consider containment degradations, classification schemes should be re-examined
  - Use explicitly time-dependent calculations for scenarios persisting over a long time period, considering changes in plant configuration and overlapping events
  - Consider potential failures of passive barriers and safety features at least by scoping analysis and sensitivity studies
- Specific lessons illustrated with examples from German practice



# Thank you for your attention!



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